

Report To: Corporate Governance Committee

Date of Meeting: 28 March 2012

Report Author: Head of Internal Audit Services

Title: Internal Audit Reports Issued

1. What is the report about?

This report provides the final update for 2012/13 on reports that the Internal Audit service has recently issued. The information on progress with the Operational Plan, service performance and follow up work is included in the draft Internal Audit Annual Report reported to this Committee later on its agenda.

2. What is the reason for making this report?

To bring Members up to date regarding recent Internal Audit reports issued

3. What are the Recommendations?


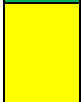

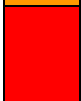
Committee considers and comments on recent Internal Audit reports issued

4. Internal Audit Progress

Summary of Recent Internal Audit Reports

- 4.1. During March we piloted a new Internal Audit report format, which we used for the Adults Services - Commissioning & Contracts project. The new report uses an Audit Opinion based on the risk management process that uses colours for assurance ratings rather than scores of 1-5.

Assurance Rating

	High Assurance	Risks and controls well managed
	Medium Assurance	Risks identified but are containable at service level
	Low Assurance	Risks identified that require meeting with Director/Lead Member
	No Assurance	Significant risks identified that require member / officer case conference

- 4.2. Since my report in February 2012, we have issued the following reports.

Audit Report	Audit Opinion	Issues Raised			Comments
		Critical Risk (Red)	Major Risk (Amber)	Moderate Risk (Yellow)	
Post 16 Education PLASC Returns – Ysgol Brynhyfryd	4	0	0	0	Committee members have received a summary report for all six PLASC reviews.
Post 16 Education PLASC Returns – St.Brigid's School	4	0	0	0	
Post 16 Education PLASC Returns – Ysgol Dinas Bran	4	0	0	0	
Royal International Pavilion	3	0	1	6	Follow up review in progress
Capital Planning & Strategic Procurement	4 3	0	0	5	Separate Audit Opinion scores given as managed by different areas of the service
Commissioning & Contracting	Green	0	0	3	